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- 1 The First Examiner called the Representative to discuss independent claim 1 as filed on 6.11.2009.
- 2 The Representative was informed that upon reviewing whether objections filed by third party apply to the present application, it was realised that claim 1, in the present form, encompasses the possibility of comprising only:
- medical acceptable vectors
  - and other herbs for removing fever and detoxification.
- This situation arises when the option "or" is chosen from two possibilities "and/or" of claim 1. In such a case, the pharmaceutical composition does not comprise active ingredients including: herba houttuyniae, caulis lonicerae japonicae, radix isatidis and radix et rhizoma sophorae tonkinensis and the observation filed by third party may apply.
- 3 According to the Examining Division, when deleting a word "or" from the wording of claim 1, the subject-matter of claim 1 would be considered as patentable under Article 52(1) EPC.
- 4 The Representative agreed to contact the Applicant in order to discuss objections raised above and to reply within two months period.



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Date

10-06-2010

Reference 119 435 a/nsp	Application No./Patent No. 07001215.8 - 2112 / 1849473
Applicant/Proprietor Livzon Pharmaceutical Group Inc.	

**BRIEF COMMUNICATION**

- Subject:
- Your letter of
  - Our telephone conversation of
  - Communication under Rule 71(3) EPC dated
  - Resumption of substantive examination**

**The communication under Rule 71(3) EPC is set aside.** In accordance with Guidelines C-VI, 14.5 substantive examination is to be resumed because

- one of the exceptions of Guidelines C-VI, 14.4.1 applies.
- the Examining Division has become aware of circumstances which are such as to render non-patentable the subject-matter claimed (Guidelines C-VI, 4.11), e.g. following observations by third parties under Article 115 EPC or because the applicant has filed further prior art.
- the communication under Rule 71(3) EPC was the first communication from the Examining Division and the applicant has responded by filing amendments which are not allowable.

The requirement of Rule 71(4) EPC concerning the filing of translations of the claims and the payment of fees no longer applies.

**For the Examining Division**





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Application No. 07 001 215.8 - 2112	Ref. 119 435 a/nsp	Date 25.02.2010
Applicant Livzon Pharmaceutical Group Inc.		

### Communication under Rule 71(3) EPC

You are informed that the Examining Division intends to grant a European patent on the basis of the above application with the text and drawings as indicated below:

#### In the text for the Contracting States:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LI LT LU LV MC NL PL PT RO SE SI SK TR

#### Description, Pages

1, 5-6, 9-17	as originally filed			
3, 3a, 4	received on	01-10-2007	with letter of	01-10-2007
2, 7-8	received on	06-11-2009	with letter of	06-11-2009

#### Claims, Numbers

1-18	received on	06-11-2009	with letter of	06-11-2009
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#### Drawings, Sheets

1/2-2/2	as originally filed
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A copy of the relevant documents is enclosed

The title of the invention in the three official languages of the European Patent Office, the international patent classification, the designated Contracting States, the registered name of the applicant and the bibliographic data are shown on the attached EPO Form 2056.

You are requested within a non-extendable period of **four months** of notification of this communication

1.	to file 1 set of translations of the claim(s) in the two other EPO official languages;		EUR
2a.	to pay the fee for grant including the fee for printing up to and including 35 pages; Reference 007		790.00
2b.	to pay the printing fee for the 36th and each subsequent page; number of pages: 0	Reference 008	0.00
3.	to pay the additional claim fee(s) (R. 71(6) EPC); number of claims fees payable:	Reference 016	0.00
		Total amount	790.00

The mention of the grant of the patent shall be published in the European Patent Bulletin as soon as possible after the requirements concerning the translation of the claims and the payment of the fees for grant and printing, claims fees, designation fees and renewal fees as laid down in Rule 71(3), (4), (6) and (8) and (9) EPC are fulfilled.

Any divisional applications relating to this European patent application must be filed directly at the European Patent Office in Munich, The Hague or Berlin in accordance with Article 76(1) and Rule 36 EPC **before** the date on which the European Patent Bulletin mentions the grant of the patent (see Guidelines for Examination in the EPO, A-IV, 1.1.1).

If you do not approve the text intended for grant but wish to request amendments or corrections, the procedure described in Rule 71(4) EPC is to be followed.

If this communication is based upon an auxiliary request, and you reply within the time limit set that you maintain the main or a higher ranking request which is not allowable, the application will be refused (Art. 97(2) EPC).

If the enclosed claims contain amendments proposed by the Examining Division, and you reply within the time limit set that you cannot accept these amendments, refusal of the application under Article 97(2) EPC will result if agreement cannot be reached on the text for grant.

In all cases except those of the previous two paragraphs, if the fees for grant and printing or claims fees are not paid, or the translations are not filed, in due time, the European patent application will be deemed to be withdrawn (R. 71(7) EPC).

For all payments you are requested to use EPO Form 1010 or EPO Form 1010E or to refer to the relevant reference number.

After publication, the European patent specification can be downloaded free of charge from the EPO publication server <https://publications.european-patent-office.org> or ordered from the Vienna sub-office upon payment of a fee (OJ EPO 2005, 126).

Upon request in writing each proprietor will receive the certificate for the European patent **together with one copy** of the patent specification provided that the request is filed within the time limit of Rule 71(3) EPC. If such request has been previously filed, it has to be confirmed within the time limit of Rule 71(3) EPC. The requested copy is free of charge. If the request is filed after expiry of the Rule 71(3) EPC time limit, the certificate will be delivered without a copy of the patent specification (R.74 EPC, Decision of the President of the EPO, Special edition No.3, OJ EPO 2007, D.2).

#### **Note on payment of renewal fees**

If a renewal fee falls due between notification of the present communication and the proposed date of publication of the mention of the grant of the European patent, publication will be effected only after the renewal fee and any additional fee have been paid (R. 71(9) EPC).

Under Article 86(2) EPC, the obligation to pay renewal fees to the European Patent Office terminates with the payment of the renewal fee due in respect of the year in which the mention of the grant of the European patent is published.

#### **Filing of translations in the Contracting States**

As regards translation requirements prescribed by the Contracting States under Article 65(1) EPC, please consult the website of the European Patent Office

[www.epo.org](http://www.epo.org) → Patents → Law → Legal texts → National law relating to the EPC

[www.epo.org](http://www.epo.org) → Patents → Law → Legal texts → London Agreement

#### **In case of a valid extension**

As regards translation requirements prescribed by the Extension States, please consult the website of the European Patent Office

[www.epo.org](http://www.epo.org) → Patents → Law → Legal texts → National law relating to the EPC

**Failure to supply a prescribed translation in a Contracting State or an Extension State may result in the patent being deemed to be void *ab initio* in the State concerned (Article 65(3) EPC).**

#### **Important note to users of the automatic debiting procedure**

The fees for grant and printing and also any additional claims fees due under Rule 71(6) EPC will be debited automatically on the date of filing of the translation of the (relevant) claims, or on the last day of the period of this communication. However, if the designation fees become due as set out in Rule 71(8) EPC and/or a renewal fee becomes due as set out in Rule 71(9) EPC, these should be paid separately by another permitted means of payment in order not to delay the publication of the mention of grant. The same applies in these circumstances to the payment of extension fees. For further details see the Arrangements for the automatic debiting procedure (AAD) and accompanying Information from the EPO concerning the automatic debiting procedure (Annexes A.1 and A.2 to the Arrangements for deposit accounts (ADA) in Supplement to OJ EPO 3/2009).

**Examining Division:**

**Chairman:** Peris Antoli, Berta  
**2nd Examiner:** Sproll, Susanne  
**1st Examiner:** Madalinska, K



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Enclosure(s): Form 2056  
24 Copies of the relevant documents